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Longitudinal rates, patient risk factors, and economic impact of superficial and deep incisional surgical site infection (SSI) following primary and revision total hip arthroplasty: analysis of a US retrospective commercial claims database analysis

Journal:	<i>Surgical Infections</i>
Manuscript ID	Draft
Manuscript Type:	Original Paper
Date Submitted by the Author:	n/a
Complete List of Authors:	Edmiston, C. E.; Medical College of Wisconsin, Surgery Spencer, Maureen; Infection Prevention Consultants Gunja, Najmuddin ; Johnson & Johnson Services Inc, Health Economics Holy, Chantal; Johnson & Johnson Services Inc, Epidemiology/Statistics Ruppenkamp, Jill ; Johnson & Johnson Services Inc, Epidemiology/Statistics Leaper, David; Home, (Professor Leaper Limited); Newcastle University,
Keyword:	Orthopedic Infection
Manuscript Keywords (Search Terms):	total hip arthroplasty, primary THA arthroplasty, revision THA arthroplasty, surgical site infection, comorbid risk factors

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**Longitudinal rates, patient risk factors, and economic impact of
superficial and deep incisional surgical site infection (SSI) following
primary and revision total hip arthroplasty: a US retrospective
commercial claims database analysis**

14 Charles E. Edmiston Jr., PhD,¹ Maureen Spencer, BSN, M. Ed,² Najmuddin J. Gunja,
15 PhD,³ Chantal E. Holy, PhD,⁴ Jill W. Ruppenkamp, MSc,⁴ David J. Leaper, DSc, MD,
16 ChM⁵
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19
20

21 ¹Department of Surgery, Medical College of Wisconsin, Milwaukee, WI, USA; ²Infection
22 Prevention Consultants, Boston, MA, USA; ³Health Economics and Market Access,
23 Johnson & Johnson, Ontario, CA, USA; ⁴MedTech Epidemiology, Real-World Data
24 Sciences, Johnson & Johnson, New Brunswick, NJ, USA; ⁵Universities of Newcastle
25 and Huddersfield, UK
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36 **Running Title:** Infection Following Total Hip Arthroplasty
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43 **Key Words:** total hip arthroplasty; primary THA arthroplasty; revision THA arthroplasty;
44 surgical site infection; comorbid risk factors.
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50 **Corresponding Author:** Charles E. Edmiston, Jr., PhD, CIC, FIDSA, FSHEA, FAPIC
51 Department of Surgery, Medical College of Wisconsin, Milwaukee, Wisconsin,
52 edmiston@mcw.edu
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Abstract

Background: Longitudinal rates, risk factors, and costs of superficial and deep incisional surgical site infection (SSI) were evaluated six months after primary (pTHA) and revision total hip replacement (rTHA).

Methods: Patients, following pTHA or rTHA, were identified from January 1, 2016-March 31, 2018, utilizing the IBM® MarketScan® administrative claims databases. Kaplan-Meier survival curves evaluated time to SSI over six months. Cox proportional hazard models evaluated SSI risk factors. Generalized linear models estimated SSI costs up to 12 months.

Results: The total cohort included 17,514 pTHA patients (mean [SD] age 59.6 [10.1] years, 50.2% female; 66.4% commercial insurance), and 2,954 rTHA patients (61.2 [12.0] years, 52.0% female; 48.6% commercial insurance). Deep and superficial SSI at 6-months post-op affected 0.30% (95%CI: 0.22%-0.39%) and 0.67% (95%CI: 0.55%-0.79%) of patients in the pTHA, and 8.9% (95%CI: 7.8%-10.0%) and 4.8% (95%CI: 4.0%-5.6%) of patients in the rTHA cohorts. Hazards for SSI were related to patient comorbidities which included diabetes, obesity, renal failure, pulmonary or circulatory disorders, and depression. The adjusted average all-cause incremental commercial costs associated with postoperative infection ranged from \$21,434-\$42,879 for superficial incisional SSI and \$53,884-\$76,472 for deep incisional SSI, over a 12-month postoperative assessment period.

Conclusions: The SSI rate after revision total hip arthroplasty (rTHA) was nearly 9% compared with 1.0% after primary arthroplasty (pTHA). The risk of infection was influenced by several comorbid risk factors. The incremental cost associated with SSIs was substantial.

Introduction

More than 300,000 hip replacement procedures are undertaken annually in the United States.¹⁻³ The number of primary and revision total joint replacement (TJA) including hip arthroplasty are expected to increase by 2030 with an ageing population and an increasing prevalence of arthritis and comorbid conditions.⁴⁻⁶ While THR is effective in improving quality of life and low morbidity and mortality, surgical site infection (SSI) poses a significant, and expensive, complication of this procedure.

Postoperative peri-joint infections have four inter-related risk factors which include: (1) microbial-related factors which involve bacterial virulence and antimicrobial resistance; (2) multiple comorbidities, such as obesity, diabetes mellitus, and a history of corticosteroid therapy; (3) intra-operative risk factors which include the perioperative surgical team, operative technique, organization and management, and the operating room environment; and (4) post-operative care and post-operative wound management. Although superficial incisional SSIs after THR may be amenable to local wound treatment with debridement and antiseptic dressings and antibiotic therapy, infection may extend beyond the subcutaneous layer to involve deeper tissue (fascial) layers. These deep incisional SSIs are a risk for development of periprosthetic infections which in most cases will require device removal or, at the least, prolonged intervention and debridement.^{6,7}

The reported incidence of SSI after primary THA (pTHA) and rTHA) ranges from 0.4% to 8.6%, depending upon the patient populations evaluated, the type of surgical procedure, and the definition of SSI.⁸⁻¹⁰ In a previous analysis of 163,547 THRs we reported that SSI rates were 8.6% for rTHA compared with 2.1% for pTHA. Comorbid risks associated with the greatest adjusted effect on SSI after pTHA were paralysis, alcohol abuse, obesity, diabetes, depression, coagulopathy, chronic pulmonary disease, fluid electrolyte disorder, uncontrolled hypertension, rheumatoid arthritis, congestive heart failure, smoking, and renal failure. Comorbidities which had the greatest impact on SSI following rTHA were metastatic cancer, congestive heart failure, blood loss anemia, coagulopathy, weight loss, alcohol abuse, deficiency anemia, obesity, uncontrolled hypertension, diabetes, and fluid electrolyte disorder.¹¹ The purpose of the

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4 current study was to build upon these findings by conducting a longer durational (180-
5 day) longitudinal analysis utilizing the same database to more accurately determine the
6 time of SSI presentation after rTHA and if associated risk factors differed in relation to
7 the time of SSI presentation. The current analysis also reports the all-cause incremental
8 costs of pTHA and rTHA patients associated with superficial and deep incisional SSI.
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14 **Methods**

15 Data Sources

16 This analysis was designed as a retrospective cohort study using the IBM®
17 MarketScan® Commercial Claims and Encounters (CCAЕ), Medicare Supplemental
18 and Coordination of Benefits (MDCR), and Multi-State Medicaid (MDCD) databases.
19 The CCAЕ contains information on insured individuals who are under the age of 65. The
20 Medicare database includes information for individuals who are 65 years or older. Data
21 for the CCAЕ and Medicare databases are collected from over 300 large, self-insured
22 US employers and over 25 US health plans. The databases comprise enrollment
23 information, demographics, and adjudicated health insurance claims (e.g., inpatient,
24 outpatient, and outpatient pharmacy).
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33 A standard extract from these databases consists of three files: (1) an enrollment
34 file which includes patient sociodemographic and health insurance payer type
35 information; (2) a medical file which includes detailed records for hospital in- and
36 outpatient admissions and services across different facilities of care captured with an
37 international classification of disease (ICD)-9 and ICD-10 diagnosis (clinical modification
38 ((CM)) and procedure (procedure coding system (PCS)) codes and Common
39 Procedural Terminology (CPT) codes; and (3) a drug file (pharmacy claims). The files
40 are linkable, based on an encrypted patient identification number. Institutional Review
41 board approval was not necessary to conduct this study, as data within these databases
42 are de-identified and comply with Health Insurance Portability and Accountability Act
43 (HIPAA) regulations.
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Patient Population

Patients in the database who underwent pTHA or rTHA (identified using ICD-10-PCS codes or CPT-4 codes) between January 1, 2016, and March 31, 2018, in either outpatient or inpatient settings of care were considered for inclusion. The date of hospitalization for THR was defined as the index date. Patients were included if they were 18 or older and continuously enrolled for at least 12 months before and 180 days after index THR. Patients having a knee procedure undertaken from 12 months pre-, to 2 years post-index, or the contralateral hip performed within 6 months of index or having any femoral fractures at time of index surgery were excluded. These exclusions were designed to avoid including other potential sources of SSI in the analyses. For the primary cohort (pTHA), additional exclusion criteria included: non-elective cases and the presence of deep or superficial incisional SSI at the time of surgery, and up to 2 days post-surgery. This exclusion criterion was designed to avoid including existing infection in the SSI analysis.

Outcomes Evaluated

Demographic characteristics included age, sex, and health insurance type (i.e., commercial, Medicare, or Medicaid). Baseline clinical characteristics included the comorbidities prior to THR assessed using the Elixhauser Comorbidity Index (ECI). The ECI estimates an aggregate measure of comorbidity using 31 dimensions and has been shown to be associated with risk of mortality and health care utilization.^{12, 13} For each patient, the occurrence of SSI within 180 days after pTHA or rTHA was evaluated using ICD-10-CM codes. The primary outcome was occurrence of deep incisional infection (defined as a new diagnosis for deep incisional infection or osteomyelitis) from 2 to 180 days postoperatively. Secondary outcomes included the occurrence of superficial SSI, and incremental, all-cause healthcare costs associated with deep and superficial SSI, evaluated from the perspective of the insurer, at 6-, and 12-months post-index. For the evaluation of deep incisional infection following rTHA, a subgroup analysis was conducted to analyze the occurrence of deep infection from 2-180 days postoperatively, *excluding* patients with a diagnosis of deep incisional infection up to one year prior to

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4 the rTHA, This sub-group analysis was designed to evaluate the risk of deep incisional
5 infection in patients having their hip replacement revised for non-infection related
6 causes.
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9 10 Statistical Analyses

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12 Descriptive statistics including means and standard deviations (SDs) for all
13 continuous variables and proportions for categorical variables were performed to
14 describe all variables in the dataset. Analyses were performed separately for pTHR and
15 rTHR patients. Time to superficial and deep incisional SSI over six months was
16 represented with Kaplan-Meier survival curves. Cox proportional hazard models
17 examined the effects of preoperative patient characteristics (demographics and pre-
18 existing comorbid factors) and surgical characteristics (year of surgery) on the hazard of
19 superficial or deep incisional SSI. Hazard ratios (HRs), 95% confidence intervals (CIs),
20 and p-values were computed. Generalized linear models with gamma distribution and
21 log links estimated the adjusted all-cause incremental cost of superficial and deep
22 incisional SSI. All costs were adjusted to 2021 US dollars using the Bureau of Labor
23 Statistics (BLS) consumer price-index.¹⁴
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33 34 **Results**

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36 A total of 17,514 pTHA patients and 2,954 rTHA patients were included in the
37 analyses.
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40 Patient Baseline Demographic and Clinical Characteristics

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42 Baseline demographic, clinical characteristics and comorbid risks for patients
43 with pTHA and rTHA are presented in **Table 1**. Mean ages (SD) were 59.6 (10.1) years
44 for pTHA and 61.2 (12.0) years for rTHA, with a large proportion of patients aged 55 to
45 64 (50.1% pTHA and 40.6% rTHA). Females accounted for 50.2% of pTHA patients and
46 52.0% of rTHA patients.
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51 For pTHA, approximately two-thirds of patients had commercial insurance
52 (66.4%) and the remainder had Medicare (18.8%) or Medicaid (14.8%) insurance. For
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4 rTHA patients, approximately half had commercial insurance (48.6%) and approximately
5 one-quarter each had Medicare (27.1%) or Medicaid (24.3%) insurance.
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9 Mean (SD) ECI scores were higher among patients with rTHA (3.6 [2.7]) compared with
10 patients in the pTHA cohort (2.1 [1.9]). Compared to pTHA, patients in the rTHA cohort
11 were also more likely to have an ECI of 5 or greater (pTHA: 10.2%, rTHA: 29.9%). All
12 key comorbid risk factors were more prevalent in the rTHA patient population compared
13 to the pTHA cohort. For example, for pTHA: complicated hypertension, obesity and
14 complicated diabetes affected 4.5%, 18.3% and 6.6% patients. For rTHA, the same
15 comorbidities affected 10.8%, 26.5% and 12.2% patients.
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22 Superficial and Deep Incisional SSI Rates and Timing

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24 Deep and superficial SSI affected 0.30% (95%CI: 0.22%-0.39%) and 0.67%
25 (95%CI: 0.55%-0.79% of patients in the pTHA, and 8.9% (95%CI: 7.8%-10.0%) and
26 4.8% (95%CI: 4.0%-5.6%) of patients in the rTHA cohorts. For revision THA cases that
27 did not have an infection at time of revision (subgroup analysis), the rate of deep SSI
28 post-index reached 6.0% (95%CI: 5.1%-6.9%). Key demographic and comorbid
29 characteristics of patients with and without infection are shown in **Table 2**. Age did not
30 change between patients with and without infection. There were fewer females in the
31 deep SSI group compared to the no infection groups (for pTHA: 49.1% vs 50.2%; for
32 rTHA: 43.8% vs 52.7%). Mean ECI was highest in the deep SSI cohorts, compared to
33 the no infection groups. The percentage of patients with an ECI of 5 or greater was also
34 larger in the deep SSI group compared to the no infection group (for pTHA: 22.6% vs
35 10.1% - for rTHA: 57.8% vs 26.5%). Rates of individual comorbidities, as a function of
36 infection outcome, are shown in **Table 3**. As expected, therefore, chronic conditions
37 were far more prevalent in the deep SSI vs. the no infection cohorts, except for
38 hypothyroidism, which did not follow any trends. For many chronic conditions, patients
39 with deep SSI following rTHA also presented with greater rates of comorbidities
40 compared to patients with deep SSI following pTHA. For example: rTHA-deep SSI
41 patients had a 38.6% obesity rate, compared to 34% in the pTHA-deep SSI cohort. The
42 rate of complicated hypertension also reached 18.3% of the rTHA-deep SSI cohort,
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4 versus 7.5% of the pTHA-deep SSI cohort. The time to infection kaplan-meier graphs
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6 are shown in the **Supplemental Figures S1-S4**.

7 8 9 Patient Characteristics Associated with SSI

10 Forest plots of hazard ratios for deep and superficial SSI following primary and
11 revision THA are shown in Figures 1 – 5. As shown in **Figure 1**, none of the patient
12 demographic or comorbidities at time of pTHA was strongly associated with an
13 increased hazard for superficial SSI. Patients on Medicaid had a slightly increased, but
14 not significant, hazard for superficial SSI (hazard ratios (HR) for Medicaid vs
15 Commercially-insured patients: 1.57 (95%CI: 0.94-2.64). The HR for superficial infection
16 also increased with increasing ECI, but even for patients with an ECI score of 5 or
17 above, the HR was not significant (HR: 2.58 (95%CI: 0.87-7.66)). Complicated diabetes
18 and hypothyroidism had non-significant, but elevated hazard ratios (HR) (complicated
19 diabetes: HR = 1.65 (95%CI: 0.92-2.93 – hypothyroidism: HR = 1.57 (95%CI: 0.97-
20 2.55)). The HR for deep SSI following pTHA are shown in **Figure 2**. Unlike superficial
21 SSI, many comorbidities were significantly associated with increased risk of deep SSI,
22 specifically: blood loss anemia (HR: 4.74 (95%CI: 1.07-21.11), renal failure (HR: 3.20
23 (95%CI: 1.25-8.20)), complicated diabetes (HR: 3.02 (95%CI: 1.13-8.09)), depression
24 (HR: 2.25 (95%CI: 1.15-4.37)), rheumatoid arthritis (HR: 2.32 (95%CI: 1.10-4.87)), cardiac
25 arrhythmia (HR: 2.27 (95%CI: 1.14-4.53) and obesity (HR: 1.99 (95%CI: 1.05-3.77)). For
26 patients undergoing rTHA: very few variables showed significant association for
27 superficial SSI, as shown on **Figure 3**. Coagulopathy (HR: 1.86 (95% CI: 1.05-3.27) and
28 obesity (HR: 1.47 (95%CI: 1.01-2.16)) showed significantly increased HR. All other
29 comorbidities or demographic factors were not associated with increased hazards for
30 superficial SSI. For deep SSI following rTHA, comorbidities mattered, as shown in
31 **Figure 4**. Patients with an ECI of 5 or above had a HR of 3.76 (95%CI: 1.47-9.62).
32 Individual comorbidities associated with increased hazard for deep SSI following rTHA
33 included: fluid and electrolyte disorders (HR: 1.84 (95%CI: 1.37-2.49), complicated
34 diabetes (HR: 1.48 (95%CI: 1.07-2.03), pulmonary circulation disorders (HR: 1.67
35 (95%CI: 1.08-2.58), and obesity (HR: 1.34 (95%CI: 1.01-1.77)). A preliminary analysis
36 suggested that the risk of developing a new, deep SSI, following rTHA, was greater in
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4 males compared to female (HR: 1.62 (95%CI: 1.24 – 2.13), and individuals with
5 pulmonary circulation disorders (HR: 2.05 (95%CI: 1.20-3.51), depression (HR: 1.84
6 (95%CI: 1.28-2.65), or fluid and electrolyte disorders (HR: 1.63 (95%CI: 1.10-2.40). The
7 hazard ratio in patients with obesity remained elevated, but not significant (HR: 1.36
8 (95%CI: 0.96-1.93), **Figure S5**. Finally, the demographic and comorbid characteristics
9 of patients treated with primary or revision total hip arthroplasty (pTHA or rTHA), with
10 superficial infection (SI), deep infection (DI), or no infection (NI) is presented in
11 supplemental **Table S1**.
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19 Costs Associated with SSI

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21 Adjusted all-cause incremental costs associated with superficial and deep
22 incisional SSIs, compared with patients not having an SSI, were available for patients
23 with commercial insurance over 6 and 12 months after pTHA or rTHA. For patients with
24 pTHA, the following average incremental cost of care were incurred when infection was
25 reported: superficial incisional SSI: 6 month costs \$21,434 [95%CI: \$8,615 - \$34,252]
26 and 12 month costs \$34,958 [95%CI: \$11,163 - \$58,753]; deep incisional SSI: 6 month
27 costs \$54,521 [95%CI: \$7,093 - \$101,949] and 12 month costs \$76,472 [95%CI: \$4,927
28 - \$148,017]). For patients with rTHA: the costs were as follows: superficial SSI 6 month
29 costs \$38,519 [95%CI: \$13,845 - \$63,192] and 12 month costs \$42,879 [95%CI:
30 \$15,575 - \$70,184]; deep incisional SSI: 6 month costs \$53,884 [95%CI: \$29,636 -
31 \$78,131] and 12 month costs \$55,605 [95%CI: \$21,516 - \$89,695]).
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41 **Discussion**

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43 The current analysis found that SSI – deep and superficial - occurred more
44 commonly after rTHA compared with pTHA. Although patients with pTHA had a low risk
45 of deep (0.3%) and superficial incisional SSI (0.6%), patients with rTHA had a higher
46 risk of deep (8.9%) and superficial (4.8%) SSIs. The rates of SSI after rTHA observed in
47 this study were higher than those of our previously published analysis, which found that
48 SSI rates were 8.6% after rTHA and 2.1% after pTHA.¹¹ The differences in rates of SSI
49 may be due to the inclusion of Medicaid patients in this study, the differences in timing
50 of data collection (2016-Q12018 for the current analysis compared with 2009-2015 for
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4 the previous analysis), and the differences in duration of follow-up for the studies (180
5 days for the current study compared with 90 days for the previous analysis).

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7 Nevertheless, the rate of SSI is still high and evidence-based consensus guidelines on
8 prevention of SSI are heterogeneous. Preoperative patient optimization prior to total
9 joint replacement for high risk patients can significantly decrease SSI risk as well as
10 improving surgical outcomes and patient care.^{16,17}

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12 This analysis demonstrates that risk factors for SSI were related to patient
13 comorbidities, including diabetes, obesity, renal failure, pulmonary circulatory disorders,
14 and depression. While these results suggest a relatively small number of factors related
15 to adverse patient outcomes, they are consistent with our previous analyses of the
16 comorbidities associated with THA SSI.¹¹

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18 The current study also found that a pTHA conducted in 2017 (compared with
19 2016) was associated with a lower risk of deep incisional SSI. There was also a trend
20 for lower risk of deep SSI in 2018, but the difference was not statistically significant;
21 presumably due to the smaller sample size studied in 2018 as only patients after THA
22 within the first three months of the year were included to enable adequate follow-up
23 data post-THA. The findings of a decreasing trend of SSIs in more recent years are
24 consistent with a recent study evaluating SSI with rTHA using the National Surgical
25 Quality Improvement Program (NSQIP) database.¹⁸ There was a trend towards
26 decreasing SSIs nationwide between 2011 and 2016, again emphasizing the benefits
27 from pre- and post-operative infection preventative strategies.¹⁸ Deep incisional SSI
28 rates also reflected a marked improvement, specifically between 2014 and 2016.¹⁸
29 However, these findings may also be due to changes in coding practices or
30 documentation of infection as hospital infection rates have become more heavily
31 scrutinized in recent years.¹⁹ Furthermore, Medicare non-payment penalties have been
32 instituted in an effort to reduce the incidence of hospital-acquired infections, including
33 selective SSI.²⁰

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35 Finally, the current study suggested that male sex was associated with an
36 increased risk of deep SSI after rTHA. The reason for this finding is unclear but aligns
37 with findings from other studies, including our prior investigation.^{10,11,21,22} It is also
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4 possible that male sex is linked to other unmeasured variables and that these results
5 are confounded.
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8 The choice between using logistic regression models or Cox proportional
9 hazards models for epidemiologic association studies is primarily based on the design
10 of the study. Cox proportional hazards models are the recommended models if follow-
11 up data are available as they have more statistical power than logistic regression
12 models.²³ This is because the Cox proportional hazards models take account of the time
13 until events occur. To our knowledge, no other study has compared these models in
14 determining the factors associated with incisional SSI after THA.
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20 The analysis also found that the adjusted average all-cause incremental cost
21 associated with SSI is substantial. The adjusted average all-cause incremental
22 commercial costs ranged from \$21,434-\$42,879 for superficial incisional SSI and
23 \$53,884-\$76,472 for deep incisional SSI, varying with the time horizon. A substantial
24 proportion of the costs were incurred by 6 months but costs did increase from 6 to 12
25 months. We focused our cost analysis on the commercial cohort for multiple reasons;
26 the Medicare database does not include all Medicare eligible patients; only those with
27 supplemental insurance provided by the worker's employer. As for Medicaid: data is
28 provided by a small proportion of US states varying from year to year, and may be
29 subject to delayed reimbursement.
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37 The present analysis evaluated, within a the real-world setting, the risk of SSI after pTHA
38 and rTHA. The study used an administrative claims database, enabling an robust analysis of
39 large numbers of patients. However, the results of the study must also be seen in light of its
40 limitations, including that the observational design makes it difficult to draw causal inferences.
41 Potential coding errors and misclassifications within the databases could not be identified and
42 results may therefore lead to under-reported or missing diagnoses based on patients' choice
43 (not to seek care) or access challenges which could not be captured. The findings from this
44 database study are generalizable to similar populations with pTHA and rTHA. This study
45 evaluated the risk of all-cause revisions only and did not analyze the specific cause for the
46 revision.
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Conclusion

This real-world data study found that SSI occurred most commonly after rTHR compared with pTHA and among patients with significant co-morbid risks including diabetes, obesity, renal failure, pulmonary circulatory disorders, and depression. Furthermore the costs associated with these infections, whether primary total hip replacement or revision represent a substantial economic cost to the healthcare system.

Although orthopedic (device-related) infections represent a significant cost to patients and the healthcare system, the evolution of evidence-based interventions offer a solution which might mitigate risks. An effective orthopedic care bundle must be scientifically sound (using a level IA evidence base), supported by peer-review, and free of dogmatic surgical practice. One systematic review has documented that introduction of a surgical care bundle was effective in reducing *Staphylococcus aureus* infections after major cardiac and orthopedic procedures.²⁴ A large cohort analysis has documented the clinical efficacy of a four-component surgical care bundle in improving the clinical outcome of hip arthroplasty.²⁵ It is proposed that the development of evidence based care bundles and enhanced recovery after surgery (ERAS) protocols could have a significant impact on improving SSI following hip replacement surgery. This benefit has been documented in a systematic review and meta-analysis in which it was found that ERAS significantly reduced the length of stay and incidence of complications in patients after total hip replacement.²⁶ Finally, our current efforts to improve clinical outcomes currently focuses on collecting data on relevant comorbid risk to which we can then apply evidence-based mitigation strategies. However, it has been recently suggested that failure to reduce the risk of adverse surgical outcome, including incisional SSIs, does not reflect a lack of real-world clinical data but rather a failure of key stakeholders to insure those adequate institutional resources are in-place to validate institutional compliance of effective evidence-based mitigation strategies.²⁷

Acknowledgements

Conflict of Interest: CEE, MS and DJL are members of the Ethicon Speakers Bureau; NJG, CH and JR are employed by Johnson and Johnson, Inc. Authors CEE, MS and DJL received no funding for this project.

Authorship Confirmation/Contribution Statement: Author CEE: conceptualization, writing, review, editing, and final draft approval; Author MS: writing, review, editing and final draft approval; Author DJL: editing, review, writing and final draft approval; Author NJG: review, editing and final draft approval; Author CH: conceptualization, statistical analysis, writing, review, editing and final draft approval. Author JR: statistics, review, editing and final draft approval.

Financial Statement: None to report

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Figure Legends:

Figure 1: Hazard ratios of demographic and comorbid variables for superficial SSI following primary THA.

Figure 2: Hazard ratios of demographic and comorbid variables for deep SSI following primary THA.

Figure 3: Hazard ratios of demographic and comorbid variables for superficial SSI following revision THA.

Figure 4: Hazard ratios of demographic and comorbid variables for deep SSI following revision THA.

Table 1. Baseline demographic and clinical characteristics and comorbidities for patients undergoing primary and revision THA. *Data shown: N (% of total cohort), or if indicated: mean (SD)*

Variables	Primary THA	Revision THA
All	17,514	2,954
Mean Age (SD)	59.6 (10.1)	61.2 (12.0)
Age Category		
Less than 45	1,036 (5.9%)	219 (7.4%)
45-54	3,691 (21.1%)	571 (19.3%)
55-64	8,770 (50.1%)	1,200 (40.6%)
65-74	2,562 (14.6%)	516 (17.5%)
75 and above	1,455 (8.3%)	448 (15.2%)
Sex: Females	8,793 (50.2%)	1,537 (52.0%)
Insurance Type		
Commercial	11,628 (66.4%)	1,437 (48.6%)
Medicare	3,286 (18.8%)	800 (27.1%)
Medicaid	2,600 (14.8%)	717 (24.3%)
Year		
2016	7,715 (44.1%)	1,487 (50.3%)
2017	7,765 (44.3%)	1,195 (40.5%)
2018	2,034 (11.6%)	272 (9.2%)
Mean ECI (SD)	2.1 (1.9)	3.6 (2.7)
ECI Category		
Score: 0	3,422 (19.5%)	268 (9.1%)
Score: 1-2	8,211 (46.9%)	953 (32.3%)
Score: 3-4	4,098 (23.4%)	851 (28.8%)
Score: 5+	1,783 (10.2%)	882 (29.9%)
Comorbidities (Data shown: N (% of total cohort))	Primary THA	Revision THA
Hypertension		
Uncomplicated	9,444 (53.9%)	2,011 (68.1%)
Complicated	784 (4.5%)	318 (10.8%)
Obesity	3,202 (18.3%)	784 (26.5%)
Chronic Pulmonary Diseases, including Asthma	2,595 (14.8%)	782 (26.5%)
Depression	2,573 (14.7%)	813 (27.5%)
Cardiac arrhythmias	2,502 (14.3%)	720 (24.4%)
Diabetes		
Uncomplicated	2,391 (13.7%)	615 (20.8%)
Complicated	1,149 (6.6%)	360 (12.2%)
Hypothyroidism	2,377 (13.6%)	552 (18.7%)

Rheumatoid arthritis / collagen vascular diseases	1,476 (8.4%)	421 (14.3%)
Valvular disease	1,041 (5.9%)	350 (11.8%)
Peripheral vascular disorders	1,007 (5.7%)	360 (12.2%)
Fluid and electrolyte disorders	994 (5.7%)	476 (16.1%)

ECl: Elixhauser Index. SD: Standard Deviation. THA = Total Hip Arthroplasty.

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Table 2. Clinical characteristics of patients, by post-operative infection status*Data shown: N (% of total cohort), or if indicated: mean (SD)*

Variables	Primary THA			Revision THA		
	Deep SSI	Superficial SSI	No Infection	Deep SSI	Superficial SSI	No Infection
All	53	117	17,344	251	136	2,567
Mean Age (SD)	56.6 (10.4)	58.2 (9.5)	59.6 (10.1)	61.3 (13.2)	59.1 (11.8)	61.3 (11.9)
Age Category						
Less than 45	5 (9.4%)	8 (6.8%)	1,023 (5.9%)	26 (10.4%)	13 (9.6%)	180 (7.0%)
45-54	16 (30.2%)	23 (19.7%)	3,652 (21.1%)	37 (14.7%)	26 (19.1%)	508 (19.8%)
55-64	24 (45.3%)	65 (55.6%)	8,681 (50.1%)	98 (39.0%)	64 (47.1%)	1,038 (40.4%)
65-74	4 (7.5%)	15 (12.8%)	2,543 (14.7%)	45 (17.9%)	21 (15.4%)	450 (17.5%)
75 and above	4 (7.5%)	6 (5.1%)	1,445 (8.3%)	45 (17.9%)	12 (8.8%)	391 (15.2%)
Sex: Female	26 (49.1%)	61 (52.1%)	8,706 (50.2%)	110 (43.8%)	75 (55.1%)	1,352 (52.7%)
Mean ECI (SD)	3.5 (2.7)	2.8 (2.0)	2.1 (1.8)	5.4 (3.1)	4.4 (3.2)	3.3 (2.5)
ECI Category						
Score: 0	3 (5.7%)	12 (10.3%)	3,407 (19.6%)	6 (2.4%)	7 (5.1%)	255 (9.9%)
Score: 1-2	22 (41.5%)	50 (42.7%)	8,139 (46.9%)	45 (17.9%)	40 (29.4%)	868 (33.8%)
Score: 3-4	16 (30.2%)	31 (26.5%)	4,051 (23.4%)	55 (21.9%)	32 (23.5%)	764 (29.8%)
Score: 5+	12 (22.6%)	24 (20.5%)	1,747 (10.1%)	145 (57.8%)	57 (41.9%)	680 (26.5%)

ECI: Elixhauser Index. SD: Standard Deviation. SSI = Surgical site infection. THA = Total Hip Arthroplasty.

Table 3: Key Comorbidities of Patients with primary or revision THA, based on post-operative infection status.

Data shown: N (% of total cohort)

Variables	Primary THA			Revision THA		
	Deep SSI	Superficial SSI	No Infection	Deep SSI	Superficial SSI	No Infection
Hypertension						
Uncomplicated	36 (67.9%)	76 (65.0%)	9,332 (53.8%)	208 (82.9%)	95 (69.9%)	1,708 (66.5%)
Complicated	4 (7.5%)	9 (7.7%)	771 (4.4%)	46 (18.3%)	13 (9.6%)	259 (10.1%)
Elix 23 Obesity	18 (34.0%)	29 (24.8%)	3,155 (18.2%)	97 (38.6%)	51 (37.5%)	636 (24.8%)
Elix 31 Depression	16 (30.2%)	25 (21.4%)	2,532 (14.6%)	101 (40.2%)	50 (36.8%)	662 (25.8%)
Diabetes						
Uncomplicated	15 (28.3%)	21 (17.9%)	2,355 (13.6%)	76 (30.3%)	31 (22.8%)	508 (19.8%)
Complicated	12 (22.6%)	16 (13.7%)	1,121 (6.5%)	56 (22.3%)	18 (13.2%)	286 (11.1%)
Cardiac arrhythmias	14 (26.4%)	18 (15.4%)	2,470 (14.2%)	87 (34.7%)	41 (30.1%)	592 (23.1%)
Chronic pulmonary disease, including Asthma	13 (24.5%)	22 (18.8%)	2,560 (14.8%)	95 (37.8%)	43 (31.6%)	644 (25.1%)
Rheumatoid arthritis / collagen vascular diseases	10 (18.9%)	13 (11.1%)	1,453 (8.4%)	47 (18.7%)	20 (14.7%)	354 (13.8%)
Renal failure	7 (13.2%)	9 (7.7%)	707 (4.1%)	42 (16.7%)	15 (11.0%)	219 (8.5%)
Valvular disease	6 (11.3%)	8 (6.8%)	1,027 (5.9%)	45 (17.9%)	19 (14.0%)	286 (11.1%)
Peripheral vascular disorders	6 (11.3%)	10 (8.5%)	991 (5.7%)	42 (16.7%)	22 (16.2%)	296 (11.5%)
Fluid and electrolyte disorders	6 (11.3%)	11 (9.4%)	977 (5.6%)	91 (36.3%)	29 (21.3%)	356 (13.9%)
Hypothyroidism	5 (9.4%)	26 (22.2%)	2,346 (13.5%)	54 (21.5%)	28 (20.6%)	470 (18.3%)
Congestive heart failure	4 (7.5%)	7 (6.0%)	612 (3.5%)	46 (18.3%)	15 (11.0%)	201 (7.8%)

SSI = Surgical site infection. THA = Total Hip Arthroplasty.

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Figure 1. Hazard ratios of demographic and comorbid variables for superficial SSI following primary THA.

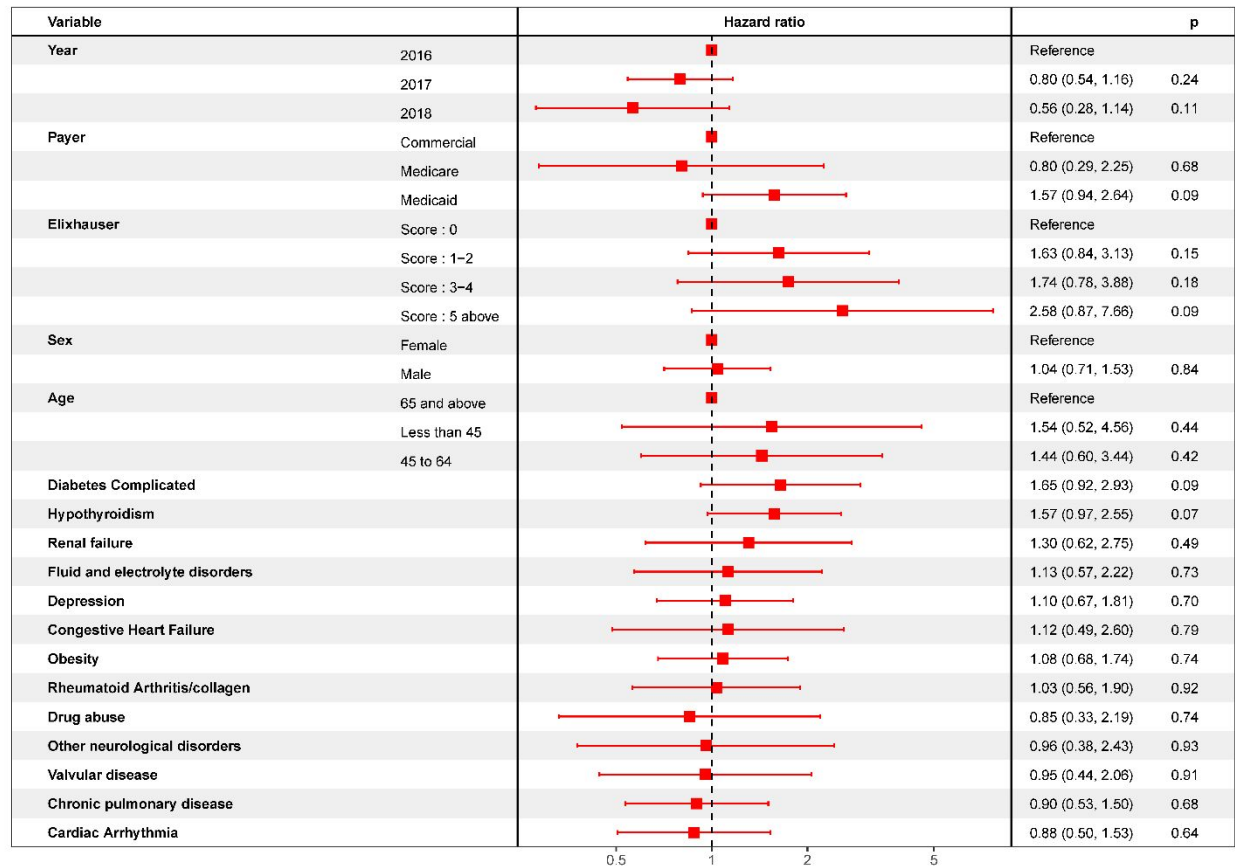
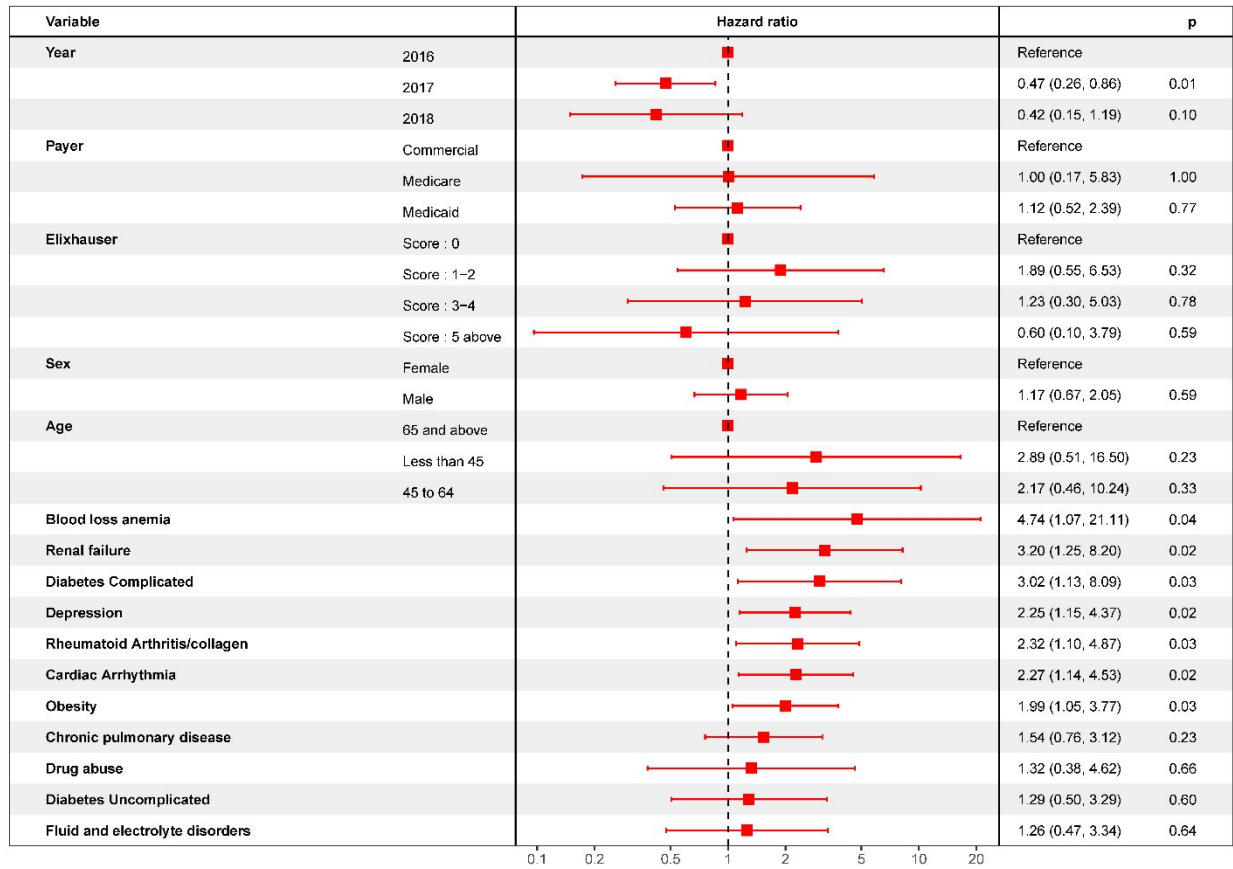


Figure 2. Hazard ratios of demographic and comorbid variables for deep SSI following primary THA.



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Figure 3. Hazard ratios of demographic and comorbid variables for superficial SSI following revision THA.

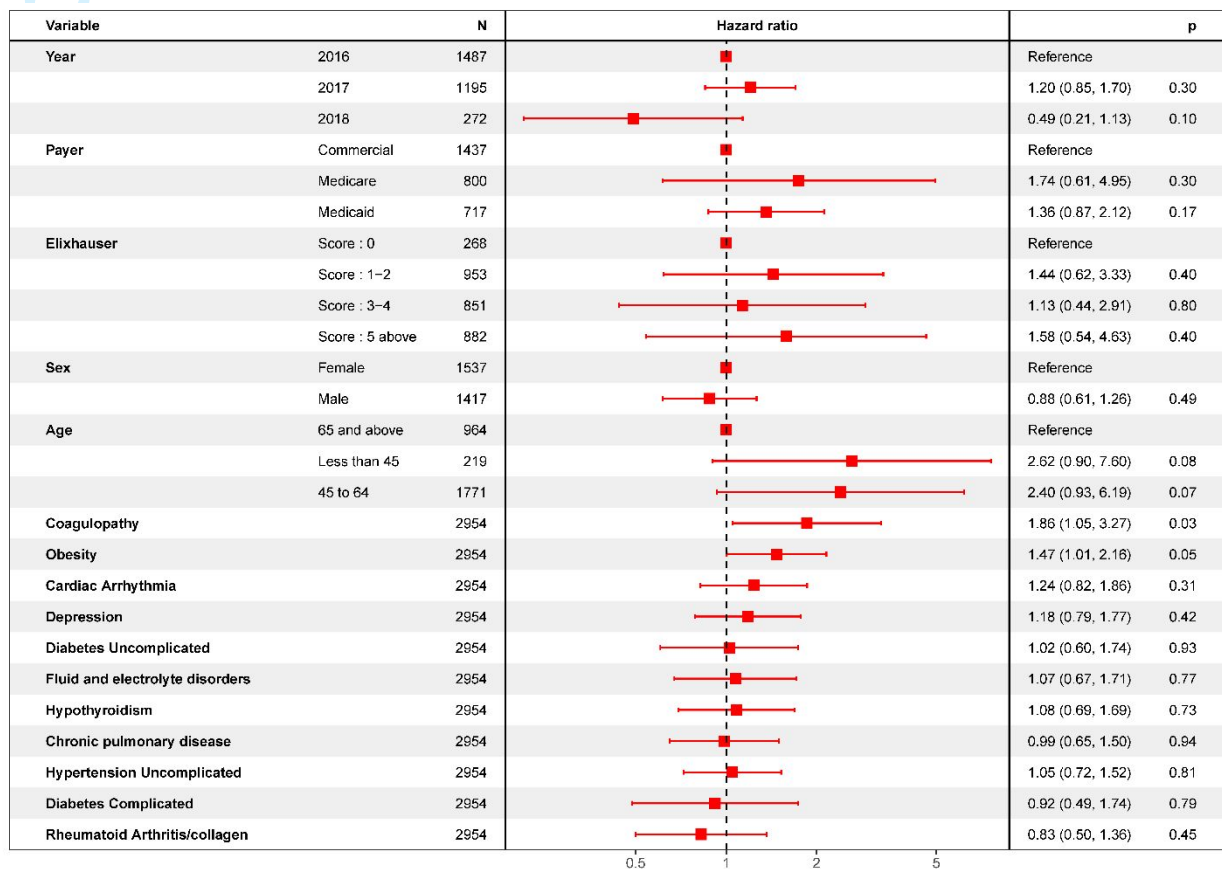
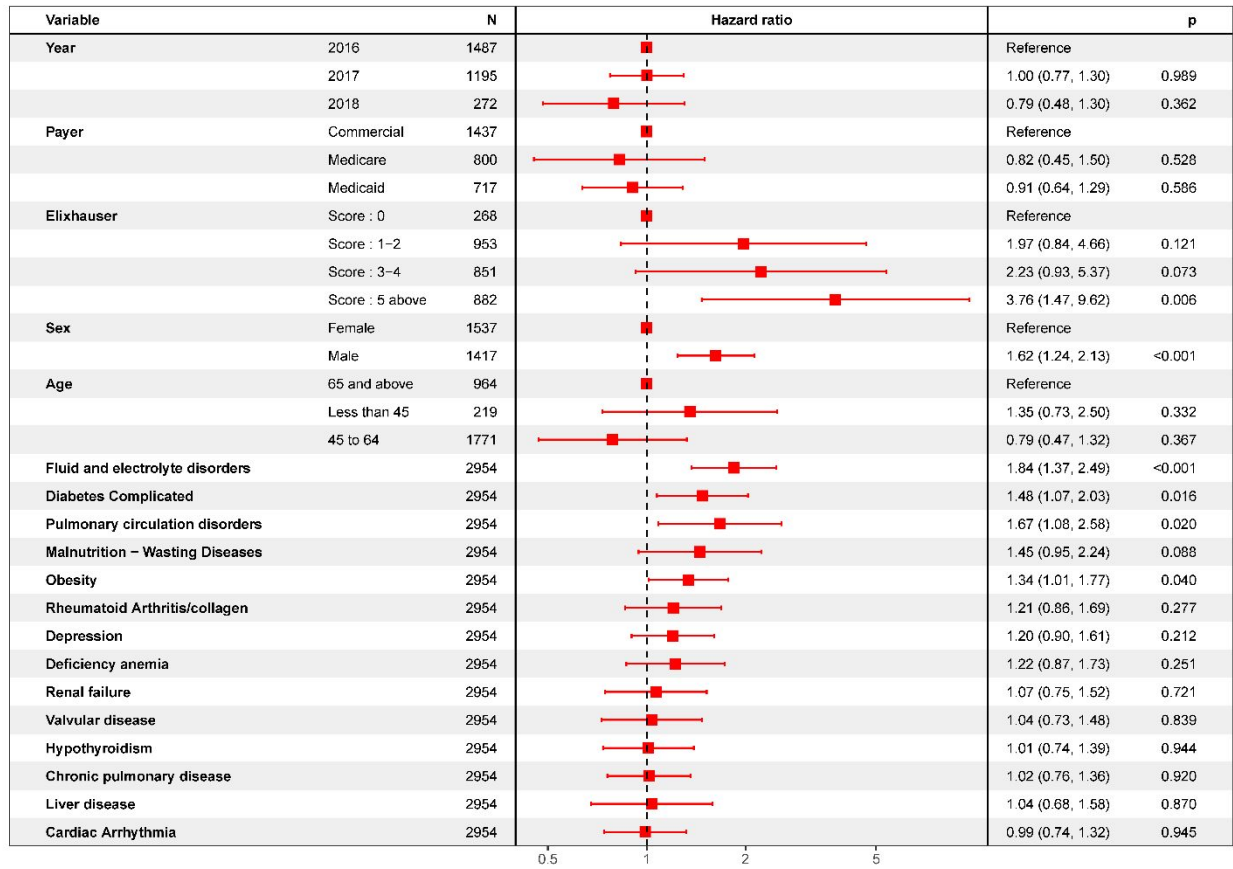


Figure 4. Hazard ratios of demographic and comorbid variables for deep SSI following revision THA.



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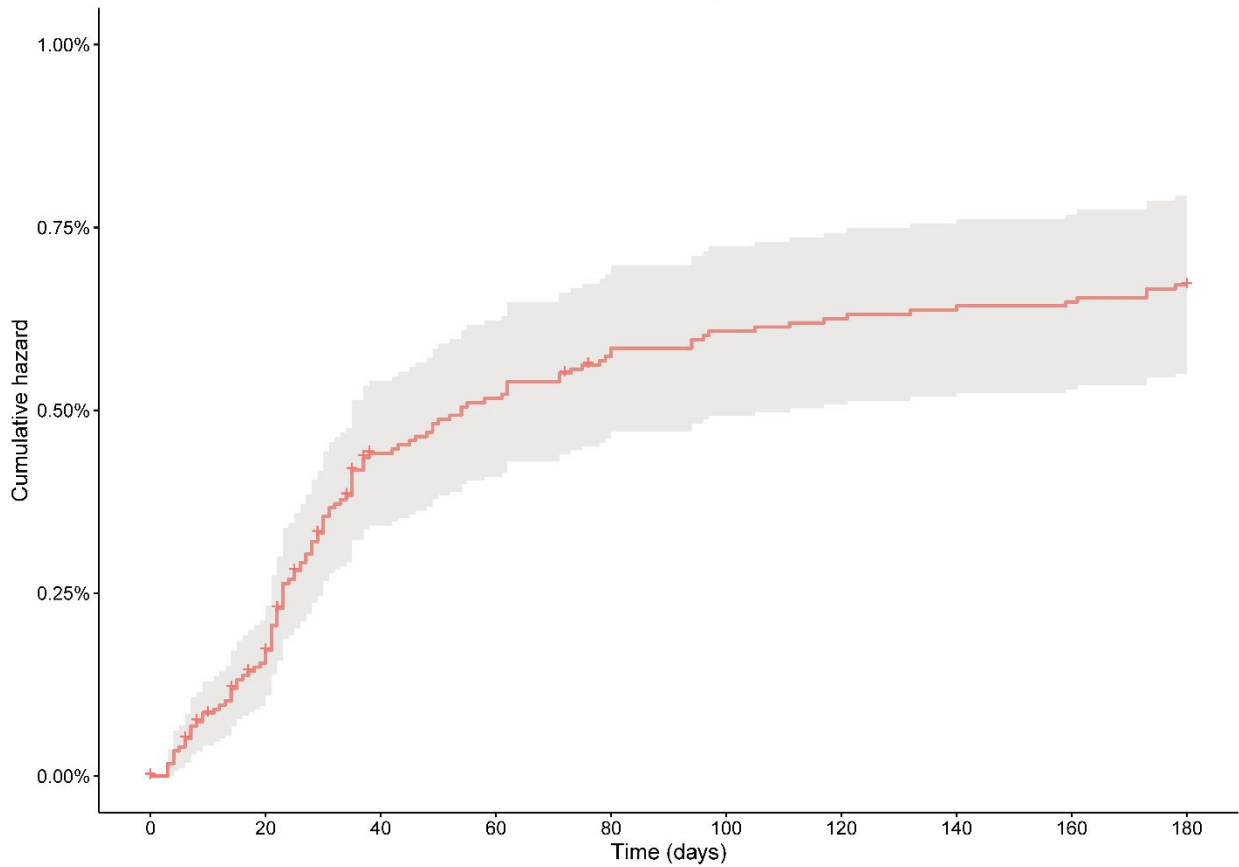
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5 Table S1: Demographic and comorbid characteristics of patients treated with primary or
6 revision total hip arthroplasty (pTHA or rTHA), with superficial infection (SI), deep infection (DI),
7 or no infection (NI). pTHA: primary total hip arthroplasty; rTHA: revision total hip arthroplasty;
8 SI: superficial infection; DI: deep infection, NI: no infection.
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Variables	pTHA	pTHA DI	pTHA SI	pTHA NI	rTHA	rTHA DI	rTHA SI	rTHA NI
All	17,514	53	117	17,344	2,954	251	136	2,567
Mean Age (SD)	59.6 (10.1)	56.6 (10.4)	58.2 (9.5)	59.6 (10.1)	61.2 (12.0)	61.3 (13.2)	59.1 (11.8)	61.3 (11.9)
Age Category								
18-24	47 (0.3%)	0 (0.0%)	0 (0.0%)	47 (0.3%)	10 (0.3%)	1 (0.4%)	1 (0.7%)	8 (0.3%)
25-34	179 (1.0%)	0 (0.0%)	3 (2.6%)	176 (1.0%)	48 (1.6%)	8 (3.2%)	4 (2.9%)	36 (1.4%)
35-44	810 (4.6%)	5 (9.4%)	5 (4.3%)	800 (4.6%)	161 (5.5%)	17 (6.8%)	8 (5.9%)	136 (5.3%)
45-54	3,691 (21.1%)	16 (30.2%)	23 (19.7%)	3,652 (21.1%)	571 (19.3%)	37 (14.7%)	26 (19.1%)	508 (19.8%)
55-64	8,770 (50.1%)	24 (45.3%)	65 (55.6%)	8,681 (50.1%)	1,200 (40.6%)	98 (39.0%)	64 (47.1%)	1,038 (40.4%)
65-74	2,562 (14.6%)	4 (7.5%)	15 (12.8%)	2,543 (14.7%)	516 (17.5%)	45 (17.9%)	21 (15.4%)	450 (17.5%)
75 and above	1,455 (8.3%)	4 (7.5%)	6 (5.1%)	1,445 (8.3%)	448 (15.2%)	45 (17.9%)	12 (8.8%)	391 (15.2%)
Sex: Female	8,793 (50.2%)	26 (49.1%)	61 (52.1%)	8,706 (50.2%)	1,537 (52.0%)	110 (43.8%)	75 (55.1%)	1,352 (52.7%)
Insurance Type								
Commercial	11,628 (66.4%)	34 (64.2%)	73 (62.4%)	11,521 (66.4%)	1,437 (48.6%)	99 (39.4%)	64 (47.1%)	1,274 (49.6%)
Medicaid	2,600 (14.8%)	13 (24.5%)	30 (25.6%)	2,557 (14.7%)	717 (24.3%)	83 (33.1%)	44 (32.4%)	590 (23.0%)
Year								
2016	7,715 (44.1%)	33 (62.3%)	60 (51.3%)	7,622 (43.9%)	1,487 (50.3%)	129 (51.4%)	66 (48.5%)	1,292 (50.3%)
2017	7,765 (44.3%)	16 (30.2%)	48 (41.0%)	7,701 (44.4%)	1,195 (40.5%)	104 (41.4%)	64 (47.1%)	1,027 (40.0%)
2018	2,034 (11.6%)	4 (7.5%)	9 (7.7%)	2,021 (11.7%)	272 (9.2%)	18 (7.2%)	6 (4.4%)	248 (9.7%)
Mean ECI (SD)	2.1 (1.9)	3.5 (2.7)	2.8 (2.0)	2.1 (1.8)	3.6 (2.7)	5.4 (3.1)	4.4 (3.2)	3.3 (2.5)
ECI Category								
Score: 0	3,422 (19.5%)	3 (5.7%)	12 (10.3%)	3,407 (19.6%)	268 (9.1%)	6 (2.4%)	7 (5.1%)	255 (9.9%)
Score: 1-2	8,211 (46.9%)	22 (41.5%)	50 (42.7%)	8,139 (46.9%)	953 (32.3%)	45 (17.9%)	40 (29.4%)	868 (33.8%)
Score: 3-4	4,098 (23.4%)	16 (30.2%)	31 (26.5%)	4,051 (23.4%)	851 (28.8%)	55 (21.9%)	32 (23.5%)	764 (29.8%)
Score: 5+	1,783 (10.2%)	12 (22.6%)	24 (20.5%)	1,747 (10.1%)	882 (29.9%)	145 (57.8%)	57 (41.9%)	680 (26.5%)
Comorbidities								
Elix 01 Congestive heart failure	623 (3.6%)	4 (7.5%)	7 (6.0%)	612 (3.5%)	262 (8.9%)	46 (18.3%)	15 (11.0%)	201 (7.8%)
Elix 02 Cardiac arrhythmias	2,502 (14.3%)	14 (26.4%)	18 (15.4%)	2,470 (14.2%)	720 (24.4%)	87 (34.7%)	41 (30.1%)	592 (23.1%)
Elix 03 Valvular disease	1,041 (5.9%)	6 (11.3%)	8 (6.8%)	1,027 (5.9%)	350 (11.8%)	45 (17.9%)	19 (14.0%)	286 (11.1%)
Elix 04 Pulmonary circulation disorders	207 (1.2%)	2 (3.8%)	2 (1.7%)	203 (1.2%)	116 (3.9%)	26 (10.4%)	7 (5.1%)	83 (3.2%)
Elix 05 Peripheral vascular disorders	1,007 (5.7%)	6 (11.3%)	10 (8.5%)	991 (5.7%)	360 (12.2%)	42 (16.7%)	22 (16.2%)	296 (11.5%)

Elix 06 Hypertension, uncomplicated	9,444 (53.9%)	36 (67.9%)	76 (65.0%)	9,332 (53.8%)	2,011 (68.1%)	208 (82.9%)	95 (69.9%)	1,708 (66.5%)
Elix 07 Hypertension, complicated	784 (4.5%)	4 (7.5%)	9 (7.7%)	771 (4.4%)	318 (10.8%)	46 (18.3%)	13 (9.6%)	259 (10.1%)
Elix 08 Paralysis	44 (0.3%)	0 (0.0%)	0 (0.0%)	44 (0.3%)	23 (0.8%)	2 (0.8%)	2 (1.5%)	19 (0.7%)
Elix 09 Other neurological disorders	507 (2.9%)	1 (1.9%)	5 (4.3%)	501 (2.9%)	223 (7.5%)	34 (13.5%)	17 (12.5%)	172 (6.7%)
Elix 10 Chronic pulmonary disease	2,595 (14.8%)	13 (24.5%)	22 (18.8%)	2,560 (14.8%)	782 (26.5%)	95 (37.8%)	43 (31.6%)	644 (25.1%)
Elix 11 Diabetes, uncomplicated	2,391 (13.7%)	15 (28.3%)	21 (17.9%)	2,355 (13.6%)	615 (20.8%)	76 (30.3%)	31 (22.8%)	508 (19.8%)
Elix 12 Diabetes, complicated	1,149 (6.6%)	12 (22.6%)	16 (13.7%)	1,121 (6.5%)	360 (12.2%)	56 (22.3%)	18 (13.2%)	286 (11.1%)
Elix 13 Hypothyroidism	2,377 (13.6%)	5 (9.4%)	26 (22.2%)	2,346 (13.5%)	552 (18.7%)	54 (21.5%)	28 (20.6%)	470 (18.3%)
Elix 14 Renal failure	723 (4.1%)	7 (13.2%)	9 (7.7%)	707 (4.1%)	276 (9.3%)	42 (16.7%)	15 (11.0%)	219 (8.5%)
Elix 15 Liver disease	740 (4.2%)	3 (5.7%)	6 (5.1%)	731 (4.2%)	195 (6.6%)	26 (10.4%)	8 (5.9%)	161 (6.3%)
Elix 16 Peptic ulcer disease excluding bleeding	140 (0.8%)	3 (5.7%)	0 (0.0%)	137 (0.8%)	57 (1.9%)	9 (3.6%)	5 (3.7%)	43 (1.7%)
Elix 17 AIDS/HIV	82 (0.5%)	0 (0.0%)	2 (1.7%)	80 (0.5%)	28 (0.9%)	4 (1.6%)	2 (1.5%)	22 (0.9%)
Elix 18 Lymphoma	98 (0.6%)	1 (1.9%)	1 (0.9%)	96 (0.6%)	42 (1.4%)	5 (2.0%)	2 (1.5%)	35 (1.4%)
Elix 19 Metastatic cancer	116 (0.7%)	0 (0.0%)	0 (0.0%)	116 (0.7%)	35 (1.2%)	5 (2.0%)	2 (1.5%)	28 (1.1%)
Elix 20 Solid tumor without metastasis	1,013 (5.8%)	3 (5.7%)	6 (5.1%)	1,004 (5.8%)	207 (7.0%)	24 (9.6%)	13 (9.6%)	170 (6.6%)
Elix 21 Rheumatoid arthritis / collagen vascular diseases	1,476 (8.4%)	10 (18.9%)	13 (11.1%)	1,453 (8.4%)	421 (14.3%)	47 (18.7%)	20 (14.7%)	354 (13.8%)
Elix 22 Coagulopathy	555 (3.2%)	3 (5.7%)	5 (4.3%)	547 (3.2%)	171 (5.8%)	30 (12.0%)	15 (11.0%)	126 (4.9%)
Elix 23 Obesity	3,202 (18.3%)	18 (34.0%)	29 (24.8%)	3,155 (18.2%)	784 (26.5%)	97 (38.6%)	51 (37.5%)	636 (24.8%)
Elix 24 Weight loss	281 (1.6%)	3 (5.7%)	1 (0.9%)	277 (1.6%)	128 (4.3%)	27 (10.8%)	5 (3.7%)	96 (3.7%)
Elix 25 Fluid and electrolyte disorders	994 (5.7%)	6 (11.3%)	11 (9.4%)	977 (5.6%)	476 (16.1%)	91 (36.3%)	29 (21.3%)	356 (13.9%)
Elix 26 Blood loss anemia	138 (0.8%)	2 (3.8%)	2 (1.7%)	134 (0.8%)	86 (2.9%)	14 (5.6%)	2 (1.5%)	70 (2.7%)
Elix 27 Deficiency anemia	654 (3.7%)	2 (3.8%)	8 (6.8%)	644 (3.7%)	285 (9.6%)	45 (17.9%)	17 (12.5%)	223 (8.7%)
Elix 28 Alcohol abuse	418 (2.4%)	2 (3.8%)	1 (0.9%)	415 (2.4%)	155 (5.2%)	26 (10.4%)	10 (7.4%)	119 (4.6%)

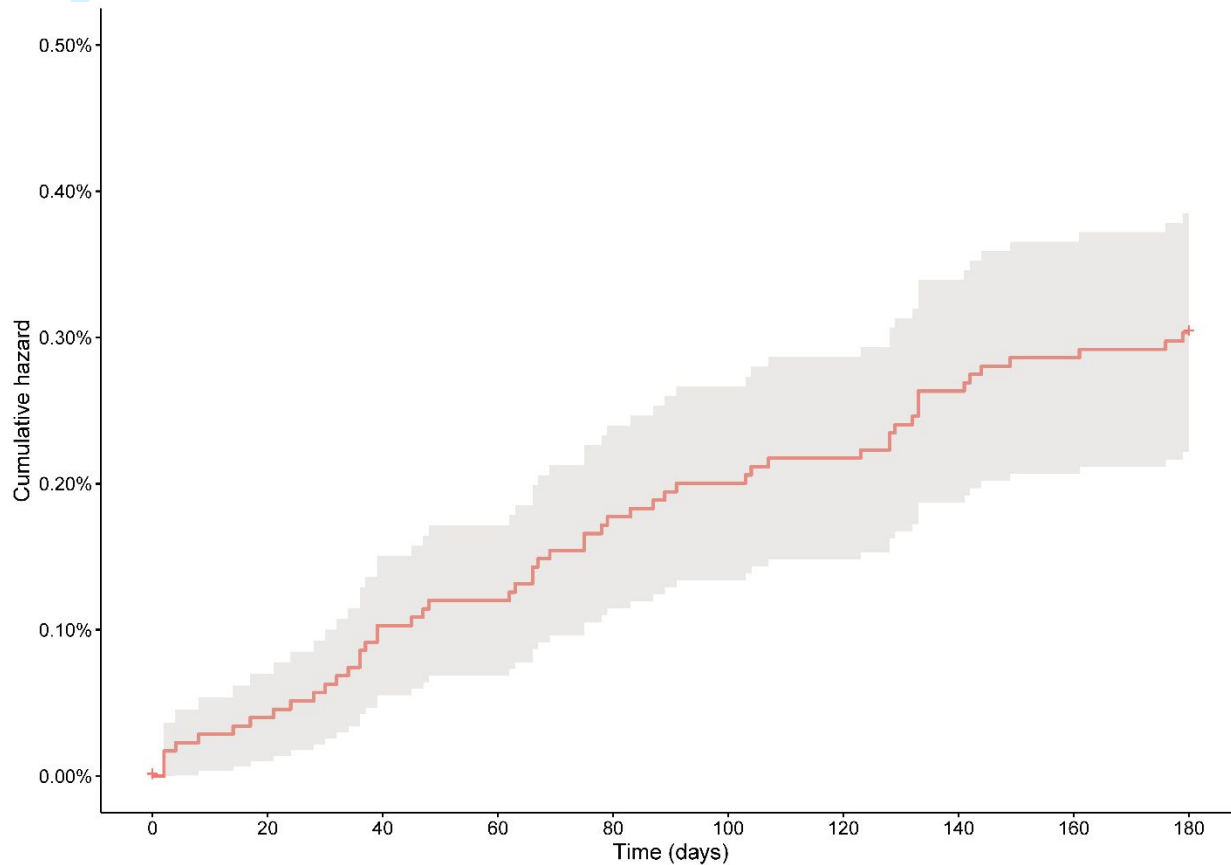
Elix 29 Drug abuse	503 (2.9%)	3 (5.7%)	5 (4.3%)	495 (2.9%)	242 (8.2%)	37 (14.7%)	21 (15.4%)	184 (7.2%)
Elix 30 Psychoses	136 (0.8%)	0 (0.0%)	2 (1.7%)	134 (0.8%)	65 (2.2%)	14 (5.6%)	7 (5.1%)	44 (1.7%)
Elix 31 Depression	2,573 (14.7%)	16 (30.2%)	25 (21.4%)	2,532 (14.6%)	813 (27.5%)	101 (40.2%)	50 (36.8%)	662 (25.8%)

Figure S1: Cumulative hazard for superficial infection following primary THA. At 6 months post-THA, the cumulative hazard for superficial infection reached 0.67% (95%CI: 0.55%-0.79%)



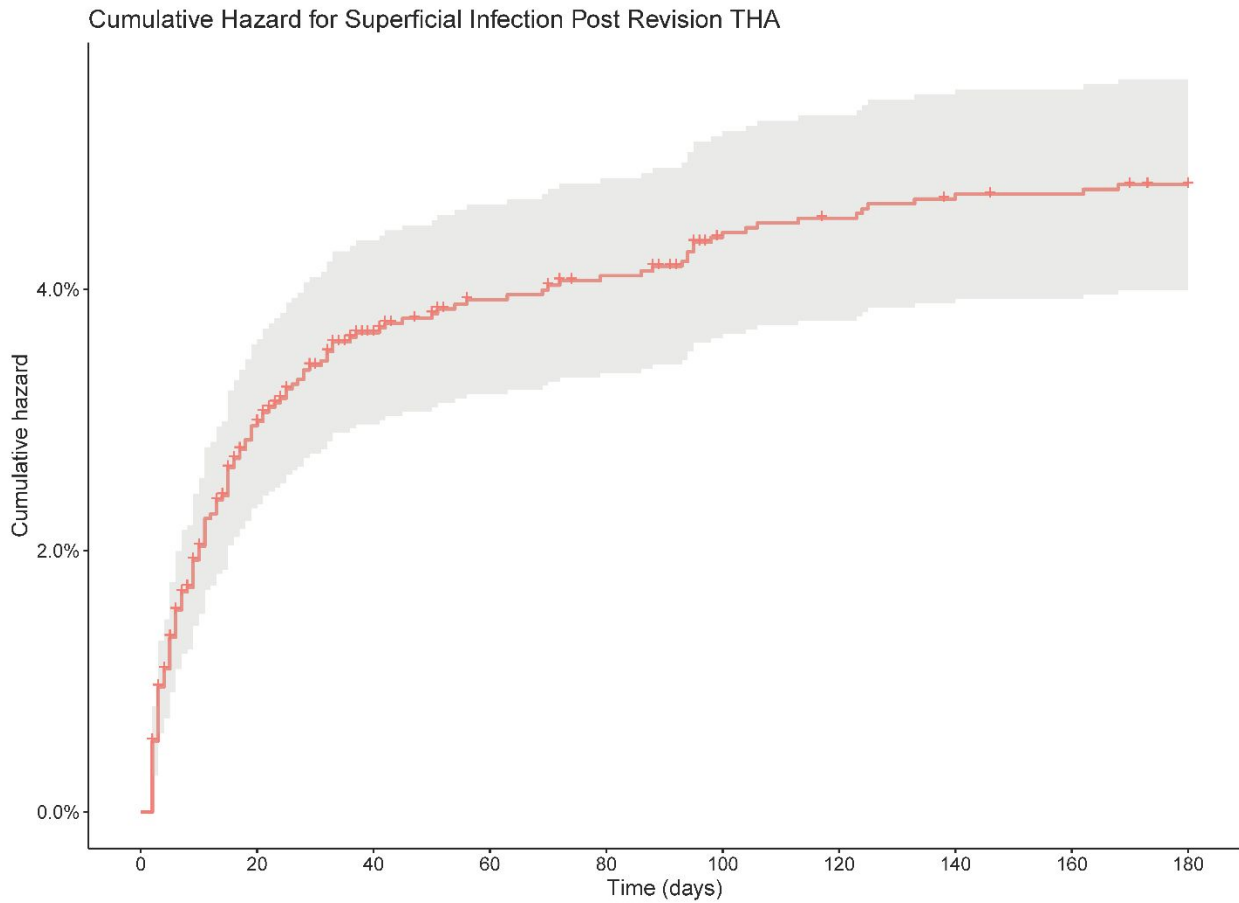
Distribution

Figure S2: Cumulative hazard for deep infection following primary THA. At 6 months post-THA, the cumulative hazard for deep infection reached 0.30% (95%CI: 0.22%-0.39%)



Distribution

Figure S3: Cumulative hazard for superficial infection following revision THA. At 6 months post-THA, the cumulative hazard for superficial infection reached 4.8% (95%CI: 4.0%-5.6%)



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For Peer Review/NOT for Distribution

FOR REVIEW

Figure S4: Cumulative Hazard for deep infection following revision THA, in all revision cases or revision cases excluding deep infections. At 6 months post-THA, the cumulative hazard for new or continued diagnoses of deep infection reached 8.9% (95%CI: 7.8%-10.0%) of all revision cases, and 6.0% (95%CI: 5.1%-6.9%) of all revision cases excluding prior infections.

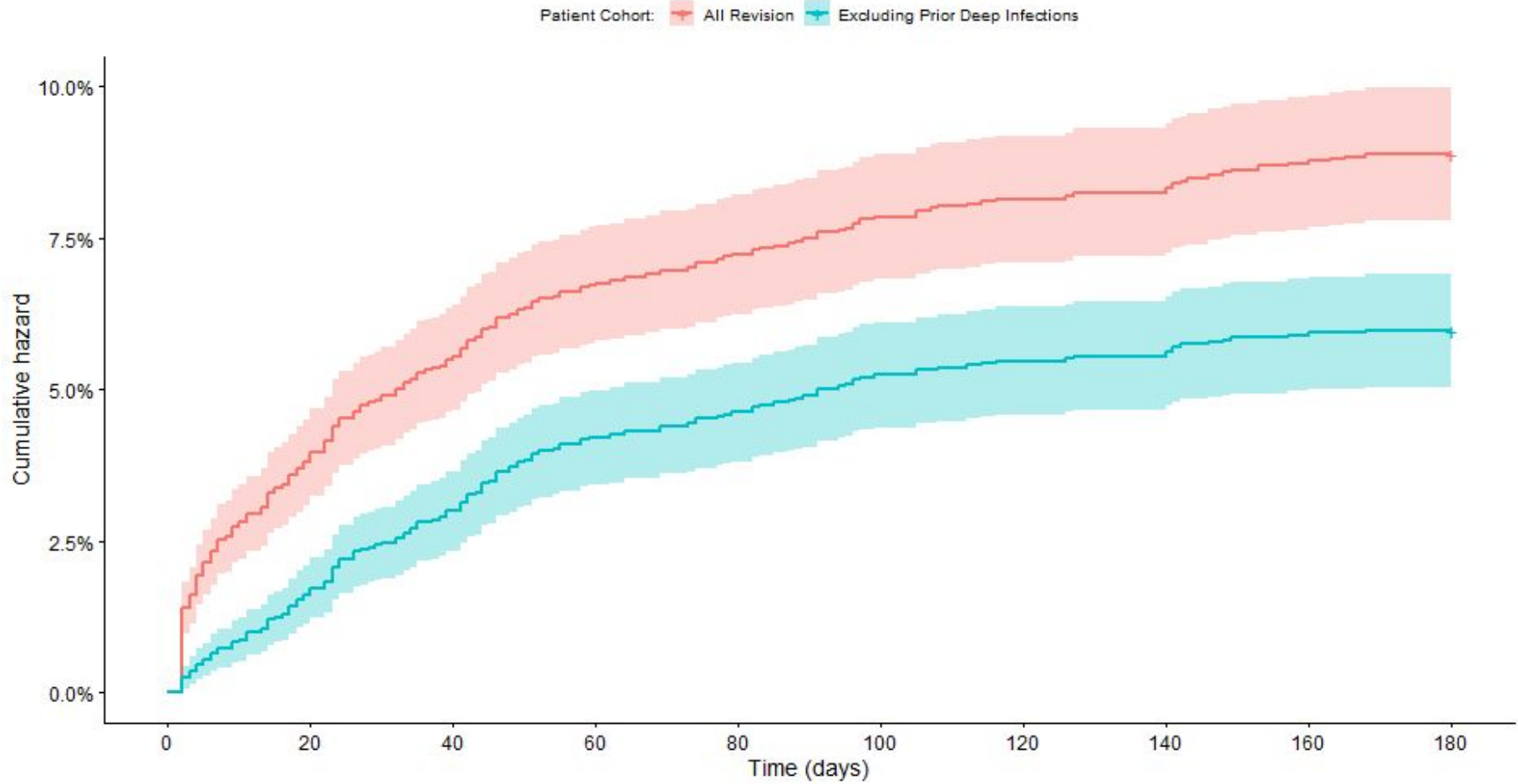


Figure S5: Hazard ratios of demographic and comorbid variables for deep SSI following revision THA, in patients without deep infection prior to, and at time of, revision.

